

Dkt. 2271/66642

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Hideyuki YAMAGUCHI et al.

Serial No.: 10/031,738

Group Art Unit: 1773

Filed: January 22, 2002

Examiner: Leszek B. Kiliman

For: MULTI-LAYER PAPER PEELABLE INTO AT LEAST TWO TISSUE SHEETS

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Paul Teng Reg. No. 40,837 1185 Avenue of the Americas

DEC 1 6 2004

TC 1700

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RECEIVED DEC 15 2004

TC 1700

Sir:

PETITION UNDER 37 C.F.R. § 1.181(a) FOR WITHDRAWAL OF OCTOBER 8, 2004 NOTICE OF ABANDONMENT

Applicants hereby petition under 37 C.F.R. § 1.181(a) to the Director to request withdrawal of a holding of abandonment in connection with the above-identified application. This Petition is submitted in response to a Notice of Abandonment mailed October 8, 2004 by the U.S. Patent and Trademark Office ("PTO") in connection with the above-identified application. This Petition is submitted within two months of the October 8, 2004 Notice, in accordance with 37 C.F.R. § 1.181(f). Accordingly, this Petition is timely filed.

A copy of the Notice of Abandonment is attached hereto as Exhibit 1. The October 8, 2004 Notice of Abandonment states that the application is abandoned because the issue fee and publication fee paid on June 16, 2004 purportedly was not timely.

Applicants maintain that the required issue fee and publication fee was paid in a timely manner.

On November 10, 2003, a Notice Of Allowance And Fee(s) Due dated November 5, 2003 mailed by the PTO was received by the undersigned's firm. A copy of the November 5, 2003 Notice Of Allowance And Fee(s) Due is attached hereto as **Exhibit 2**. However, Applicants did not receive a Notice Of Allowability for this application, along with the November 5, 2003 Notice Of Allowance. Instead, Applicants received along with the November 5, 2003 Notice Of Allowance a Notice Of Allowability for a different application, bearing a different serial number and addressed to another law firm.

After receiving the November 5, 2003 Notice Of Allowance, attempts were made to contact Examiner Leszek B. Kiliman (Art Unit 1773), who is assigned by the PTO to this application, to inquire whether a Notice Of Allowability was mailed by the PTO.

In a telephone conference on December 11, 2003 between Ms. Joanne Rhee of the undersigned's firm and Examiner Kiliman, Ms. Rhee informed Examiner Kiliman that the Notice of Allowability for a different application was attached to the November 5, 2003 Notice of Allowance received by Applicant, and that no Notice of Allowability for this application was, however, attached to the November 5, 2003 Notice of Allowance. Ms. Rhee also requested that the Examiner forward a copy of the Notice of Allowability for this application to the undersigned's firm. Examiner Kiliman advised Applicant to file a written communication requesting reissuance of a Notice of Allowability on an expedited basis.

On December 29, 2003, Applicants filed such a written request (by facsimile and by first class mail). A copy of the December 29, 2003 written request, a copy of the facsimile

confirmation report and a copy of the stamped postcard returned by the PTO bearing the stamp of receipt of the PTO indicating that the December 29, 2003 written Communication was received by the PTO are attached hereto as **Exhibit 3**.

On January 18, 2004, in a follow-up telephone conference, Examiner Kiliman advised Ms. Rhee that the December 29, 2003 Communication filed by Applicants was received by the PTO on December 31, 2003, but he did not have the physical file. The Examiner also stated to Ms. Rhee that as soon as he received the application file, he would issue a Supplemental Notice of Allowability.

In a telephone conference between Examiner Kiliman and Ms. Rhee on February 5, 2004, Ms. Rhee pointed out that the issue fee and publication fee for the application were due on that day, and the Examiner advised Ms. Rhee that he would issue a new Notice of Allowability and new Notice of Allowance on that day and therefore Applicants need not pay the issue and publication fees due that day.

In reliance on the Examiner's reassurances, Applicants did not pay the issue and publication fees by the February 5, 2004 due date.

In a follow-up telephone conference on February 12, 2004 between the undersigned and Examiner Kiliman, the Examiner stated that he would be acting on the application on that day.

Ms. Rhee placed follow-up telephone calls to Examiner Kiliman on February 18, 2004 and February 23, 2004 and left respective messages on the Examiner's voicemail.

In a follow-up telephone conference between Examiner Kiliman and Ms. Rhee on February 24, 2004, the Examiner stated that a Communication was mailed by the USPTO to the undersigned's office on February 13, 2004 and that Applicants should call back after two or three days if Applicants did not receive the Communication by then. The February 13, 2004

Communication was never received by the undersigned's office.

In a telephone conference between Examiner Kiliman and Ms. Rhee on March 4, 2004, the Examiner advised that he spoke to a clerk supervisor at the PTO and "everything would be taken care of."

As of March 19, 2004, Applicants still did not receive a Notice Of Allowability for this application. Therefore, on that day (that is, March 19, 2004), Applicants filed (by facsimile and by first class mail) a second written Communication requesting a Notice Of Allowability. A copy of the March 19, 2004 Communication, a copy of the facsimile confirmation report and a copy of the stamped postcard returned by the PTO bearing the stamp of receipt of the PTO indicating that the March 19, 2004 Communication was received by the PTO are attached hereto as **Exhibit 4**. The March 19, 2004 Communication preserves for the record the information communicated orally during the various telephone conferences between Examiner Kiliman and Ms. Rhee.

A Supplemental Notice Of Allowability dated May 25, 2004 was mailed along with an Interview Summary (for the February 12, 2004 telephone conference between Examiner Kiliman and the undersigned) by the USPTO. A copy of the May 25, 2004 Supplemental Notice Of Allowability and Interview Summary is attached hereto as **Exhibit 5**. The Interview Summary indicates that the Examiner agreed during the February 12, 2004 telephone conference to issue a supplemental office action.

In a telephone conference between Examiner Kiliman and the undersigned on June 15, 2004, the undersigned inquired why a new Notice Of Allowance which resets the due date for paying the issue fee and publication fee was not issued along with the Supplemental Notice Of Allowability. The Examiner advised that the May 25, 2004 Supplemental Notice Of Allowability established August 25, 2004 (i.e. three months from the date of the Supplemental Notice Of

Dkt. 2271/66642

Hideyuki YAMAGUCHI et al., S.N. 10/031,738 Page 5

Allowability) for paying the issue fee and publication fee.

On June 16, 2004, Applicants submitted to the PTO the issue fee and publication fee,

along with a fee transmittal and a Communication Accompanying Payment Of Issue Fee and

Publication Fee. A copy of the fee transmittal, a copy of the Communication Accompanying

Payment Of Issue Fee and Publication Fee and a copy of the stamped postcard returned by the

PTO bearing the stamp of receipt of the PTO indicating that the issue fee and publication fee and

the Communication Accompanying Payment Of Issue Fee and Publication Fee submitted on June

16, 2004 was received by the PTO are attached hereto as Exhibit 6.

Applicants maintain that the issue fee and publication fee was paid in a timely manner,

and therefore respectfully request the Director to withdraw the holding of abandonment in

connection with this application.

No fee is deemed necessary in connection with the filing of this Communication. If,

however, any fees are required, authorization is hereby given to charge the amount of any such

fees to Deposit Account No. 03-3125.

Respectfully submitted,

Paul Teng, Reg. No. 40,837

Attorney for Aphlcant

Cooper & Dunham LLP

Tel.: (212) 278-0400

EX hibit 1



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.usplo.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/031,738	01/22/2002	Hideyuki Yamaguchi	2271/66642	8679
75	590 10/08/2004		EXAM	INER
Ivan S Kavruk	cov		KILIMAN, I	LESZEK B
Cooper & Dunh			ART UNIT	PAPER NUMBER
New York, NY	10036	•	1773	0
			DATE MAILED: 10/08/2004	4 7

Please find below and/or attached an Office communication concerning this application or proceeding.

66642

minimize any negative effects on patent term.

1432 (07/01)



UNITED STATES DEPARTMENT OF COMMERCE United States Pate and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

FILING DATE PLICATION NUMBER FIRST NAMED APPLICANT ATTORNEY DOCKET NO 10 031 738 **EXAMINER ART UNIT** PAPER NUMBER OCT # 5 2004 DATE MAILED: DOCKET WITH A NOTICE OF ABANDONMENT Petition to Revive: 12/8/04 PM This application is abandoned in view of: Applicant's failure to timely file a proper reply to the Office letter mailed on ____ A reply (with Certificate of Mailing or Transmission of _ _) was received on which is after the expiration of the period for reply (including a total month(s)) which expired on A proposed reply was received on _ _ , but it does not constitute a proper reply under 37 CFR 1.113 to the final rejection. (A proper reply under 37 CFR 1.113 to a final rejection consists only of: (1) a timely filed amendment which places the application in condition for allowance; (2) a timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continued Examination (RCE) in compliance with 37 CFR 1.114). A reply was received on , but it does not constitute a proper reply, or a bona fide attempt at a proper reply, to the non-final rejection. See 37 CFR 1.85(a) and 1.111. (See explanation in the last box below). No reply has been received. Applicant's failure to timely pay the required issue fee and publication fee, if applicable, within the statutory period of three months from the mailing date of the Notice of Allowance (PTOL-85). The issue fee and publication fee, if applicable, was received on (2 - 2) - 4 (with a Certificate of Mailing or Transmission dated (-16-4), which is after the expiration of the statutory period for payment of the issue fee (and publication fee) set in the Notice of Allowance (PTOL-85)(or Notice of Publication Fee Due). The submitted fee of \$_ is insufficient. A balance of \$ The issue fee by 37 CFR 1.18 is \$______. The publication fee, if required, by 37 CFR 1.18(d) is \$_ The issue fee and publication fee, if applicable, have not been received. Applicant's failure to timely file corrected drawings as required by, and within the three-month period set in, the Notice of Allowability (PTOL-37). _ (with a Certificate of Mailing or Transmission dated Proposed corrected drawings were received on ____ __), which is after the expiration of the period for reply. No corrected drawings have been received. The letter of express abandonment which is signed by the attorney or agent of record, the assignee of the entire interest, or all the applicants. The letter of express abandonment which is signed by an attorney or agent (acting in a representative capacity under 37 CFR 1.34(a)) upon filing of a continuing application. The decision by the Board of Patent Appeals and Interferences rendered on_ for seeking court review of the decision has expired and there are no allowed claims. The reason(s) below: Petitions to revive under 37 CFR 1.137(a) or (b), or requests to withdraw the holding of abandonment under 37 CFR 1.181, should be promptly filed to

Attachment to Notice of Abandonment

For questions concerning the notice contact Office of Patent Publication

Image Assistance Center: 888-786-0101.

Information is also available on the USPTO Internet web site: http://www.uspto.gov/web/patents/pubs/abandonnotice.html

Respond to the Notice of Abandonment by one of the following:

1. Petition To Withdraw Holding of Abandonment (See MPEP 711.03(c) I and 37 CFR § 1.181) No fee required

Where an applicant contends that the application is not in fact abandoned (e.g., a reply was in fact filed), a petition under 37 CFR § 1.181(a) requesting withdrawal of the holding of abandonment is the appropriate course of action. Any petition under 37 CFR § 1.181 to withdraw the holding of abandonment not filed within 2 months of the mail date of a Notice of Abandonment may be dismissed as untimely under 37 CFR § 1.181(f). In order for a petition to be granted, the evidence must be sufficient according to 37 CFR § 1.8(b) Certificate of Mailing 37 CFR § 1.10 "Express Mail" mailing or MPEP 503 Postcard Receipt as Prima Facie Evidence. The petition should be addressed as follows:

By mail: Mail Stop: Issue Fee, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 By facsimile: 703-872-9306

2. Petition To Withdraw Holding Of Abandonment Based On Failure To Receive Office Action (MPEP 711.03(c) II and 37 CFR § 1.181). No fee required

Where an applicant contends that the original Notice of Allowance and Fee(s) Due was never received, if adequately supported, the Office may grant the petition and remail the Office action. The showing required establishing non-receipt of an Office communication must include a statement from the practitioner stating that the Office communication was not received and attesting to the fact that a search of the file jacket and docket records indicates that the Office communication was not received. A copy of the docket record where the nonreceived Office would have been entered had it been received and docketed must be attached to and referenced in practitioner's statement.

Petition should be addressed to the Technology Center handling the application as follows: By mail: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450

By facsimile: 703-872-9306

3. Petition To Revive An Abandoned Application (See MPEP 711.03(c) III)

Where there is no dispute as to whether an application is abandoned (e.g., the applicant's contentions merely involve the cause of abandonment) a petition under 37 CFR § 1.137 (a) or (b) (accompanied by the appropriate petition fee) is necessary to revive the abandoned application. The text of these rules is available on the USPTO Internet Web site. Forms for these petitions, "Petition For Revival Of An Application For Patent Abandoned Unavoidably Under 37 CFR § 1.137(a)," PTO/SB/61, and "Petition For Revival Of An Application For Patent Abandoned Unintentionally Under 37 CFR 1.137(b)," PTO/SB/64, are available in the forms section of the USPTO website: http://www.uspto.gov.

Petitions under 37 CFR § 1.137 should be addressed to the Office of Petitions as follows:

By mail: Mail Stop Petition, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450

By facsimile: 703-872-9306

Note: Abandonment takes place by operation of law for failure to reply to an Office action or timely pay the issue fee, not by operation of the mailing of a Notice of Abandonment

EXhibit 2



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

11/05/2003

Ivan S Kavrukov Cooper & Dunham 1185 Avenue of the Americas New York, NY 10036

EXAMIN	ER ·
KILIMAN I F	SZEK B

ART UNIT

PAPER NUMBER

1773

DATE MAILED: 11/05/2003

-	APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMA	TION NO.
	10/031.738	01/22/2002	Hidevuki Yamaguchi	2271/66642	. 86	79

TITLE OF INVENTION: MULTI-LAYER PAPER PEELABLE INTO AT LEAST TWO THIN SHEETS

APPLN. TYPE	SMALL ENTITY	MALL ENTITY ISSUE FEE		TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$300	\$1630	02/05/2004

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

- A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.
- B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose wine PUBLICATION FEE and 1/2 the ISSUE FEE shown above.
 - Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- II. PART B FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Exhibit 3

Dkt. 2271/66642

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Hideyuki YAMAGUCHI et al.

Serial No.: 10/031,738

Art Unit: 1773

Filed: January 22, 2002

Examiner: Leszek B. Kiliman

For: MULTI-LAYER PAPER PEELABLE INTO AT LEAST TWO TISSUE SHEETS

Issue Fee Due Date: February 5, 2004

Confirmation No.: 8679

Class-Subclass:

428-195000

Fax No. (703) 872-9306

I hereby certify that this paper is being transmitted this date by facsimile and is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Paul Teng Reg. No. 40,837

Date

1185 Avenue of the Americas New York, N.Y. 10036 Tel. (212) 278-0400

Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

COMMUNICATION RE NOTICE OF ALLOWABILITY

Sir:

Upon receiving a Notice of Allowance dated November 5, 2003 issued by the United States Patent and Trademark Office (PTO) in connection with the above-identified application, Applicant found attached to the November 5, 2003 Notice of Allowance a Notice of Allowability for an entirely different application and which was addressed to another law firm. No Notice of Allowability for this application was, however, attached to the November 5, 2003 Notice of Allowance. A copy of the November 5, 2003 Notice of Allowance is enclosed herewith.

After discovering this clerical error by the PTO, Applicant forwarded the wrongly-attached Notice of Allowability for the different application to the law firm to which the Notice of Allowability was addressed, and contacted the PTO.

YAMAGUCHI et al., S.N. 10/031,738 Page 2

In a telephone conference on December 11, 2003 between Ms. Joanne Rhee of the undersigned's office and Examiner Leszek Kiliman of the PTO, Ms. Rhee informed that the Notice of Allowability for the different application was attached to the November 5, 2003 Notice of Allowance received by Applicant, and that no Notice of Allowability for this application was, however, attached to the November 5, 2003 Notice of Allowance. Ms. Rhee also requested that the Examiner forward a copy of the Notice of Allowability for this application to Applicant. The Examiner advised Applicant to file a written communication requesting reissuance of a Notice of Allowability on an expedited basis.

The issue fee and publication fee are currently set to be due February 5, 2004.

Accordingly, Applicant hereby respectfully requests that a copy of the Notice of Allowability for this application be forwarded to Applicant as soon as possible, and preferably by facsimile to the undersigned at facsimile number (212) 391-0525.

Respectfully submitted,

PAUL TENG, Reg. No. 40,837

Attorney for Applicant Cooper & Dunham LLP

Tel.: (212) 278-0400 Fax: (212) 391-0525



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

NOTICE OF ALLOWANCE AND FEE(S) DUE

7500

11/05/2003

EXAMINER
KILIMAN, LESZEK B

Ivan S Kavrukov Cooper & Dunham 1185 Avenue of the Americas New York, NY 10036

ART UNIT PAPER NUMBER

1773

DATE MAILED: 11/05/2003

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.]
10/031,738	01/22/2002	Hideyuki Yamaguchi	2271/66642	8679	

TITLE OF INVENTION: MULTI-LAYER PAPER PEELABLE INTO AT LEAST TWO THIN SHEETS

APPLN, TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE DATE D	
nonprovisional	NO	\$1330	\$300	\$1630	02/05/2004

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

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HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

- A. If the status is the same, pay the TOTAL FEE(S) DUE shown
- B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.
 - ☐ Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- II. PART B FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Page 1 of 4

Auto-Reply Facsimile Transmission



TO:

Fax Sender at 12123910526

Fax Information

Date Received: Total Pages:

12/29/2003 11:21:10 AM [Eastern Standard Time]

3 (including cover page)

ADVISORY: This is an automatically generated return receipt confirmation of the facsimile transmission received by the Office. Please check to make sure that the number of pages listed as received in Total Pages above matches what was intended to be sent. Applicants are advised to retain this receipt in the unlikely event that proof of this facsimile transmission is necessary. Applicants are also advised to use the certificate of facsimile transmission procedures set forth in 37 CFR 1.8(a) and (b), 37 CFR 1.6(f). Trademark Applicants, also see the Trademark Manual of Examining Procedure (TMEP) section 306 et seq.

Received Cover Page

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Application of: Hideyuki YAMAGUCHI et al Serial No.: 10/031,738 Art Unit: 1773 Filed: January 22, 2002 iner: Leszek B. Kiliman For: MULTI-LAYER PAPER PEELABLE INTO AT LEAST TWO TISSUE SHEETS Issue Fee Due Date: February 5, 2004 428-195000 Confirmation No.: 8679 Fax No. (703) 872-9306 1)85 Avenue of the American New York, N.Y. 10036 Tel. (212) 278-0400 Commissioner for Patent P.O. Box 1450 Alexandria, VA 22313-1450 COMMUNICATION RENOTICE OF ALLOWABILITY Unon receiving a Notice of Allowance dated November 5, 2003 issued by the United States Patent and Trademark Office (PTO) in connection with the above-identified application, Applicant found attached to the November 5, 2003 Notice of Allowance a Notice of Allowability for an entirely different application and which was addressed to another law firm. No Notice of Allowability for this application was, however, strucked to the the November 5. 2003 Notice of Allowance. A copy of the November 5, 2003 Notice of Allowance is enclosed After discovering this clerical error by the PTO, Applicant forwarded the wrongly-attached Notice of Allowability for the different application to the law firm to which the Notice of Allowability was addressed, and com

PAGE 10 'RCVD AT 1229/2000 1 121:10 AM [Eastern Stocked Time] ' SYR-USPTO-EFX/RF-LM ' DISSET/2006 ' CSD: 1212/291/326 ' DURATION (mm-ss):01-36

Hideyuki YAMAGUCHI et al. S.N. 10/031,738 ISK/PT 66642 **RICOH (2271)** Client. December 29, 2003 Date . Kindly acknowledge receipt of the accompanying MULTI-LAYER PAPER PEELABLE INTO AT LEAST TWO TISSUE SHEETS 1. Communication re Notice of Allowability, with Certificate of Mailing dated December 29, 2003; 2. Copy of Notice of Allowance dated to vernoe 3. Return Postcard. JAN - 7 2.04 RECEIVE by placing your receiving date stamp hereon and returning to us. **DEC 15** TC 1700





COOPER & DUNHAM LLP

1185 AVENUE OF THE AMERICAS

NEW YORK, N.Y. 10036

2002 O recycled Lindle Lindle

Exhibit 4

Dkt. 2271/66642

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of : Hideyuki YAMAGUCHI et al.

Serial No.

10/031,738

Art Unit

1773

Filed

January 22, 2002

Examiner

Leszek B. Kiliman

For

MULTI-LAYER PAPER PEELABLE INTO AT LEAST TWO TISSUE SHEETS

Conf. No.

8679

Class-Subclass:

428-195000

Last Issue Fee Due Date: February 5, 2004

I hereby certify that this paper is being transmitted this date by facsimile and by first class mail in an envelop addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Paul Teng

Cooper & Dunham LLP 1185 Avenue of the Americas New York, N.Y. 10036 TEL. (212) 278-0400 March 19, 2004

Fax No. (703) 872-9306 Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Reg. No. 40,837

SECOND COMMUNICATION RE NOTICE OF ALLOWABIL

Sir:

On December 29, 2003, Applicants filed a Communication Re Notice of Allowability in order to (1) preserve for the record the information communicated orally during a telephone conference on December 11, 2003 between Examiner Leszek Kiliman of the U.S. Patent and Trademark Office (PTO) and Ms. Joanne Rhee of the undersigned's office, and (2) request a copy of the Notice of Allowability which Examiner Kiliman indicated had been issued by the PTO and had not been received by Applicants.

It is Applicants' understanding and belief that in a follow-up telephone conference on January 18, 2004, Examiner Kiliman advised Ms. Rhee that the December 29, 2003 Communication filed by Applicants was received by the PTO on December 31, 2003, but he did not have the physical file. The Examiner also stated to Ms. Rhee that as soon as he received the application file, he would issue a Supplemental Notice of Allowability.

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would issue a new Notice of Allowability and new Notice of Allowance on that day and therefore Applicants need not pay the issue and publication fees due that day.

In reliance on the Examiner's reassurances, Applicants did not pay the issue and publication fees by the February 5, 2004 due date.

It is Applicants' understanding and belief that in a follow-up telephone conference between Ms. Rhee and Examiner Kiliman on February 12, 2004, the Examiner stated that he would be acting on the application on that day.

It is also Applicants' understanding and belief that Ms. Rhee placed follow-up telephone calls to Examiner Kiliman on February 18, 2004 and February 23, 2004 and left respective messages on the Examiner's voicemail.

It is Applicants' understanding and belief that in a follow-up telephone conference between Examiner Kiliman and Ms. Rhee on February 24, 2004, the Examiner stated that a Communication was mailed by the USPTO to the undersigned's office on February 13, 2004 and that Applicants should call back after two or three days if Applicants did not receive the Communication by then. The February 13. 2004 Communication was never received by the undersigned's office.

It is also Applicants' understanding and belief that in a telephone conference between Examiner Kiliman and Ms. Rhee on March 4, 2004, the Examiner advised that he spoke to a clerk supervisor at the PTO and "everything would be taken care of."

To date, the undersigned's office has not received the February 13, 2004 communication purportedly mailed by the PTO nor a second Notice of Allowance or a Notice of Allowability from the PTO.

Applicants hereby confirm that they have no intention of abandoning the application, and again respectfully request that a Notice of Allowability and Notice of Allowance be reissued in order to reset the period for payment of the issue and publication fees, as Examiner Kiliman repeatedly reassured Applicants would occur.

Respectfully submitted,

Attorney for Applicants

Auto-Reply Facsimile Transmission



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Fax Sender at 12123910526

Fax Information
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Received Cover Page

Dks. 2271/64642 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE on of : Hideyuki YAMAGU: THI et al. 1773 Leszek B. Kilimon : MULTI-LAYER PAYER PERLABLE INTO AT LEAST TWO TISSUE SHEETS Cooper & Dunham LLP 1125 Avenue of the Ame New York, N V 10036 TEL. (212) 278-0400 Paz No. (703) 872-9306 Commissioner for Patents O. Box 1450 Novembria, VA 20313 1450 SECOND COMMUNICATION RE NOTICE OF ALLOWABILITY On December 29, 2003, Applicants filed a Communication Re Notice of Allowshilty in order to (1) pressave for the record the lath structure communicated crally during a talephone conference on Occomber 11, 2003 between Examiner Leszak Kiliman of the U.S. Patent and Trademark Office (PTO) and Ms. Josane Rhor of the undersig soft's office, and (2) request a copy of the Norice of Allowability which Examiner Killman indicated had been lessed by the PTO and had not been received by it is Applicants' understanding and belief that in a follow-up telephone conference on January 18, 2004, Examiner Killman advised Ms. Rhee that the December 29, 2003 Communication filed by Applicants was received by the PTO on Desember 31, 2001, but he did not have the physical file. The Examiner elso stated in Ms. Rhee till as soon as he received the application file, he would issue a Supplemental Notice of Allowability It is also Applicams' understanding and belief that in a telephone cor ner Killman and Ms. Rhee or, February 5, 2004, Ms. Rhee pointed out that the issue fee and PAGE 12 * REVO AT STEVEOM \$15:07 PM (Eastern Standard Time) * SVRUSSPTO-EFXER-UM * DVRS:1778508 * CSD: 17125M15/25 * DURATION (mm-45):40-63 by placing your receiving date stamp hereon and returning to

MAR 2 9 2004



COOPER & DUNHAM LLP

1185 AVENUE OF THE AMERICAS

NEW YORK, N.Y. 10036

EXhibit 5



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/031,738	01/22/2002	Hideyuki Yamaguchi	2271/66642	8679
75	90 05/25/2004		EXAM	INER
Ivan S Kavruk	ov ·		KILIMAN,	LESZEK B
Cooper & Dunk 1185 Avenue of			ART UNIT	PAPER NUMBER
New York, NY	10036		1773	
•	•		DATE MAILED: 05/25/200-	4

Please find below and/or attached an Office communication concerning this application or proceeding.

			~
	66642		ISK
	Application No.	Applicant((s)
Supplemental Notice of Allowability	10/031,738	YAMAGUC	THIET AI
Notice of Allowability	Examiner	Art Unit	I AL.
	leszek b kiliman	1773	
	16326K D KIIITIATI	1770	<u>, </u>
The MAILING DATE of this communication app II claims being allowable, PROSECUTION ON THE MERITS IS erewith (or previously mailed), a Notice of Allowance (PTOL-85 IOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT I f the Office or upon petition by the applicant. See 37 CFR 1.31	S (OR REMAINS) CLOSED ir 5) or other appropriate commu RIGHTS. This application is s	this application. If runication will be maile	not included ed in due course. THIS
. This communication is responsive to			
. X The allowed claim(s) is/are <u>1-226</u> .		•	
. X The drawings filed on 22 January 2002 are accepted by	the Examiner.		
. Acknowledgment is made of a claim for foreign priority up		· (f).	•
a) ☑ All b) ☐ Some* c) ☐ None of the:			
1. Certified copies of the priority documents have	ve been received.	•	
2. Certified copies of the priority documents have	ve been received in Application	n No	· V
3. Copies of the certified copies of the priority d	ocuments have been received	d in this national stag	e application from the
International Bureau (PCT Rule 17.2(a)).			RECEIVED A
* Certified copies not received:		1	
. Acknowledgment is made of a claim for domestic priority	under 35 U.S.C. § 119(e) (to	a provisional applica	tion). MAN 2 0 2004
(a) The translation of the foreign language provisional	application has been receive	d.	MAT 2 0 2004
. Acknowledgment is made of a claim for domestic priority			ISMC.
			PROOF & size
pplicant has THREE MONTHS FROM THE "MAILING DATE" elow. Failure to timely comply will result in ABANDONMENT of	of this communication to file a fit this application. THIS THR	reply complying with EE-MONTH PERIOR	n the requirements noted D IS NOT EXTENDABLE.
. A SUBSTITUTE OATH OR DECLARATION must be sub NFORMAL PATENT APPLICATION (PTO-152) which gives rea			MENT or NOTICE OF
CORRECTED DRAWINGS must be submitted.			
(a) ☐ including changes required by the Notice of Draftspe	erson's Patent Drawing Revie	w (PTO-948) attach	ed
1) hereto or 2) to Paper No	_		
(b) including changes required by the proposed drawing	correction filed , which	ch has been approve	d by the Examiner.
(c) including changes required by the attached Examine			-
Identifying indicia such as the application number (see 37 CFR each sheet.	1.84(c)) should be written on the	ne drawings in the fro	nt (not the back) of
DEPOSIT OF and/or INFORMATION about the dep ttached Examiner's comment regarding REQUIREMENT FOR			omitted. Note the
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ttachment(s)			
Notice of References Cited (PTO-892)	2 ☐ Notice o	f Informal Patent Ap	plication (PTO-152)

J.S. Patent and Trademark Office PTOL-37 (Rev. 04-03

of Biological Material

□ Notice of Draftperson's Patent Drawing Review (PTO-948)
 □ Information Disclosure Statements (PTO-1449), Paper No. 3.

Examiner's Comment Regarding Requirement for Deposit

Notice of Allowability

Part of Paper No. 5

4 Interview Summary (PTO-413), Paper No.____.

8⊠ Examiner's Statement of Reasons for Allowance

6☐ Examiner's Amendment/Comment

9☐ Other

Application/Control Number: 10/031,738

Art Unit: 1773

REASONS FOR ALLOWANCE

The following is an examiner's statement of reasons for allowance:

The instant invention claims a multi-layer paper comprising at least two paper layers wherein such multi-layer paper has at least one peelable paper layer interface having a peel strength of 10 N/m or less. The multi-layer paper is peelable into at least two tissue sheets.

The closest prior art references that relate to the claimed invention are Johnson'012, Wang'168, Robinson'157, Webster'762 and Fujimura'712. The prior art references teach different adhesive compositions or different methods that can be used in paper industry to produce peelable articles. The prior art does not, however, teach or suggest the claimed structure and the claimed peel strength of the paper layer interface, which leads to peelable multi-layer paper of the instant invention..

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to leszek b kiliman whose telephone number is 703-308-2373. The examiner can normally be reached on M-T, 6.30-5.00.

Application/Control Number: 10/031,738

Art Unit: 1773

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, paul thibodeau can be reached on 703-308-2367. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-3080661.

lk

Form PTO-1449				Patent and Trademark Office						of Commerce nark Office	Atty. 66642/18	• • • • • • • • • • • • • • • • • • • •	Serial No. Not Yet I		
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	Application No.	Applicant(s)
Intonvious Summany	10/031,738	YAMAGUCHI ET AL.
Interview Summary	Examiner	Art Unit
	leszek b kiliman	1773
All participants (applicant, applicant's representative, PTO	personnel):	
(1) <u>leszek b kiliman</u> .	(3)	•
(2) <u>P.Teng</u> .	(4)	•
Date of Interview: 12 February 2004.	•	
Type: a)⊠ Telephonic b)☐ Video Conference c)☐ Personal [copy given to: 1)☐ applicant	2)[☐ applicant's representativ	e]
Exhibit shown or demonstration conducted: d) Yes If Yes, brief description:	e)⊠ No.	
Claim(s) discussed: none.		
Identification of prior art discussed: none.	·	
Agreement with respect to the claims f)⊠ was reached. of	g) was not reached. h) l	N/A.
reached, or any other comments: <u>Applicants indicated to the Allowance mailed 11-05-03</u> . The examiner has agreed to a (A fuller description, if necessary, and a copy of the amend allowable, if available, must be attached. Also, where no callowable is available, a summary thereof must be attached.	issue the suplemental office a Iments which the examiner agony of the amendments that w	oction. greed would render the claims
THE FORMAL WRITTEN REPLY TO THE LAST OFFICE A INTERVIEW. (See MPEP Section 713.04). If a reply to the GIVEN ONE MONTH FROM THIS INTERVIEW DATE, OR FORM, WHICHEVER IS LATER, TO FILE A STATEMENT Summary of Record of Interview requirements on reverse s	ACTION MUST INCLUDE THI Last Office action has already THE MAILING DATE OF TH OF THE SUBSTANCE OF TH	y been filed, APPLICANT IS IS INTERVIEW SUMMARY
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Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.	Examiner's sign	nature, if required

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	Α.	US-6,610,762	08-2003	Webster, lain	522/120
	В	US-5,874,157	02-1999	Robinson et al.	428/194
_	С	US-6,034,168	03-2000	Wang, Baoyu	524/505
	D	US-4,670,012	06-1987	Johnson, Nordahl K.	604/390
	E	US-5,707,712	01-1998	Fujimura et al.	428/195.1
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FOREIGN PATENT DOCUMENTS

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NON-PATENT DOCUMENTS

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Exhibit 6

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(703) 746-4000

or <u>Fax</u>

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1185 Avenue of the				addressed to the	I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.			
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APPLICATION NO.	FILING DATE	. 1	FIRST NAMED	RST NAMED INVENTOR ATTORNEY DOCKET NO. CON			CONFIRMATION NO.	
10/031,738	01/22/2002		Hideyuki ?	lideyuki Yamaguchi 2271/66642 8679				
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This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Dkt. 2271/66642

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Hideyuki YAMAGUCHI et al.

Serial No.

10/031,738

Art Unit

1773

Filed

January 22, 2002

Examiner

Leszek B. Kiliman

For

MULTI-LAYER PAPER PEELABLE INTO AT LEAST TWO

TISSUE SHEETS

Conf. No.

8679

Class-Subclass:

428-195000

Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

APPLICANTS' COMMENTS ON EXAMINER'S STATEMENT OF REASONS FOR ALLOWANCE

Applicants appreciate the Examiner's Statement of the Reasons for Allowance attached to the Notice of Allowability dated May 25, 2004, and submit that the allowed claims recite subject matter which supports patentability for reasons in addition to those identified in the Examiner's Statement.

Respectfully submitted,

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Paul Teng Reg. No. 40,837 June 16, 2004

Date

PAUL TENG, Res. No. 40,837

Attorney for Applicants

Cooper & Dunham LLP 1185 Avenue of the Americas

New York, N.Y. 10036

Tel.: (212) 278-0400

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Hideyuki YAMAGUCHI et al.

Serial No.: 10/031,738

Art Unit: 1773

Filed: January 22, 2002

Examiner: Leszek B. Kiliman

For: MULTI-LAYER PAPER PEELABLE INTO AT LEAST TWO TISSUE SHEETS

Conf. No.: 8679

Class-Subclass: 428-195000

Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

COMMUNICATION ACCOMPANYING PAYMENT OF ISSUE FEE AND PUBLICATION FEE

Sir:

Applicants submit herewith a check in the amount of \$1,630 for the issue fee and the publication fee, along with Fee Transmittal Form PTOL-85B, in response to a Supplemental Notice of Allowability dated May 25, 2004 issued by the U.S. Patent and Trademark Office (PTO).

Examiner Leszek Kiliman of the PTO advised in a telephonic communication on June 15, 2004 that the May 25, 2004 Supplemental Notice of Allowability established August 25, 2004 (that is three months from the May 25, 2004 mailing date of the Supplemental Notice of Allowability) as the new due date for payment of the issue fee and publication fee in connection with this application. Accordingly, Applicants believe that the issue fee and publication fee submitted concurrently herewith are timely paid.

Respectfully submitted,

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Reg. No. 40,837 (

PAUL TENG, Reg. No. 40,837

Attorney for Applicants

Cooper & Dunham LLP

1185 Avenue of the Americas

New York, N.Y. 10036

Tel.: (212) 278-0400

Annlica	Hideyuki YAMAGUCHI et al. S.N. 10/031,738						
Client_	DECOM (2271) 66642 im ISK/PT						
Date	June 16, 2004						
	Kindly acknowledge receipt of the accompanying						
Fo	r: MULTI-LAYER PAPER PEELABLE INTO AT LEAST TWO TISSUE SHEETS						
1. Issue Fee Transmittal Form PTOL-85B; 2. Applicant's Comments on Examiner's Statement of Reasons for Allowance; 3. Communication Accompanying Payment of Issue Fee and Publication Fee; 4. Check in the Amount of \$1,630.00; and 5. Return Postcard. Jun 2 8 2004							
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